# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US05/004226

International filing date: 04 February 2005 (04.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/542,364

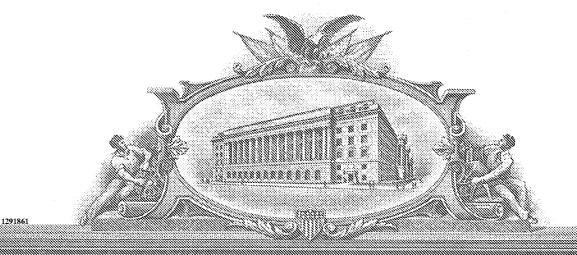
Filing date: 06 February 2004 (06.02.2004)

Date of receipt at the International Bureau: 11 March 2005 (11.03.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





# 

### 'and and and vandamentess; presents; searce, comes;

#### UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

March 03, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/542,364 FILING DATE: February 06, 2004

RELATED PCT APPLICATION NUMBER: PCT/US05/04226

Certified by

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

PTO/SB/16 (2-98) Approved for use through 01/31/2001. OMB 0651-0037 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays

valid OMB control number. PROVISIONAL APPLICATION FOR PATENT COVER SHEET

#### This is a request f r filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53 (c). INVENTOR(S) Residence Given Name (first and middle [if any]) Family Name or Surname (City and either State or Foreign Country) Dijia Huang Granger, Indiana Steven C. Charlton Osceola, Indiana Suny J. George Granger, Indiana Andrew J. Edelbrock Granger, Indiana Additional inventors are being named on the \_ separately numbered sheets attached hereto TITLE OF THE INVENTION (280 characters max) ELECTROCHEMICAL BIOSENSOR **CORRESPONDENCE ADDRESS** Direct all correspondence to: Place Customer Number **Customer Number** Bar Code Label here Type Customer Number here OR Firm or Elizabeth A. Levy, Esq. Individual Name Address Bayer Healthcare LLC P. O. Box 40 Address City Elkhart Indiana 46515-0040 State ZIP Country USA 574/264-8394Fax 574/262-7564 Telephone ENCLOSED APPLICATION PARTS (check all that apply) Specification Number of Pages Small Entity Statement 17 Drawing(s) Number of Sheets Other (specify) 6 METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one) **FILING FEE** A check or money order is enclosed to cover the filing fees AMOUNT (\$) The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number. 13-3375 \$160.00 The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government. X No. Yes, the name of the U.S. Government agency and the Government contract number are: Respectfully submitted 0/ 128/04 Date REGISTRATION NO. 34,375 (if appropriate) TYPED or PRINTED NAME

## USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

Docket Number:

MSE #2692

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C., 20231.



**TELEPHONE** 

574/264-8394



#### Elkhart Area Site

Bayer Corporation 1884 Miles Avenue P.O. Box 40 Elkhart, IN 46515-0040

Mail Stop - PROVISIONAL APPLICATION Hon. Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

January 28, 2004

#### PROVISIONAL APPLICATION COVER SHEET

RE: Provisional Application under 37 CFR 1.53

Invention of: Dijia Huang, Steven C. Charlton,

Suny J. George and Andrew J. Edelbrock

Residences: 51786 Paddock Drive, Granger, IN;

10275 Douglas Road, Osceola, IN;

11673 Wolfe Bridge Court, Granger, IN; and 14700 Horseshoe Bend Court, Granger, IN

respectively

Entitled: ELECTROCHEMICAL BIOSENSOR

Docket No.: MSE #2692

Sir:

Transmitted herewith for filing is a provisional application. This application includes the following:

- Pages of specification, including claims and abstract
- ∆ Sheets of drawing
- An Assignment of the invention to Bayer Healthcare LLC (and cover letter)
- f lpha A check of \$160 to cover the filing fee
- The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR 1.136 for its timely submission, as incorporating, a petition for extension of time for the appropriate length of time and to charge all additional fees, including fees under 37 CFR 1.17, which may be required, or credit any overpayment to Account No. 13-3375. A duplicate copy of this sheet is enclosed.

#### ATTENTION MAIL ROOM:

If for any reason this application is found to be incomplete, please advise by collect telephone call to Area Code (574) 264-8394.

Kindly acknowledge receipt of this application by returning the stamped, self-addressed post card enclosed herewith.

Respectfully submitted,

BAYER HEALTHCARE LLC

Elizabeth A. Levy

Attorney for Applicants

Reg. No. 34,375

1884 Miles Avenue

Elkhart, IN 46514-0040 Telephone: 574/264-8394 Facsimile: 574/262-7564

JR09904

Enclosures

#### **PATENT**

Attorney Docket No. MSE-2692

#### APPLICATION FOR UNITED STATES LETTERS PATENT

**FOR** 

#### **ELECTROCHEMICAL BIOSENSOR**

BY

**DIJIA HUANG** STEVEN C. CHARLTON **SUNY J. GEORGE** ANDREW J. EDELBROCK

#### EXPRESS MAIL MAILING LABEL

NUMBER: FB165931348US DATE:

February 5, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name

Janice Read

#### **ELECTROCHEMICAL BIOSENSOR**

#### FIELD OF THE INVENTION

[001] The present invention is generally related to an electrochemical biosensor for use in the quantification of an analyte in a liquid sample and, more particularly, to a system for detecting an insufficient sample amount in an electrochemical biosensor.

#### **BACKGROUND OF THE INVENTION**

10

5

[002] Medical conditions such as diabetes require a person afflicted with the condition to regularly self-monitor that person's blood-glucose concentration level. The purpose of monitoring the blood glucose concentration level is to determine the person's blood glucose concentration level and then to take corrective action, based upon whether the level is too high or too low, to bring the level back within a normal range. The failure to take corrective action can have serious medical implications for that person.

15

20

[003] One method of monitoring a person's blood glucose level is with a portable testing device. The portable nature of these devices enables users to conveniently test their blood glucose levels wherever they may be. One type of device utilizes an electrochemical biosensor to harvest the blood sample and to analyze the blood sample. The electrochemical biosensor includes a reagent designed to react with glucose in the blood to create an oxidation current at electrodes disposed within the electrochemical biosensor—this current is indicative of the user's blood glucose concentration level.

25

[004] A predetermined amount of reagent is included within an electrochemical biosensor, and is designed to react with a predetermined sample volume. If a less-than required sample volume is harvested by the electrochemical biosensor—a condition referred to as being under-filled—an erroneous measurement may result. Because electrochemical biosensors are commonly used in a self-testing environment, there exists an increased chance that an inappropriate amount of sample may be collected. Further, because the sample volumes are very small (typically less than about 10 µl) it is difficult for a user to visually determine whether an appropriate amount of sample has been harvested for analysis. Thus, there exists a need for an

30

electrochemical biosensor that reliably detects and alerts a user to the occurrence of an under-filled condition.

#### **SUMMARY OF THE INVENTION**

5

[005] According to one embodiment of the present invention, an electrochemical sensor for detecting the concentration of analyte in a fluid test sample is disclosed. The sensor includes a counter electrode having a high-resistance portion for use in detecting whether a predetermined amount of sample has been received by the test sensor.

10

15

[006] According to another embodiment of the present invention, a method for evaluating whether an electrochemical test sensor is properly filled is disclosed. The test sensor includes a working electrode coupled to a first lead and a counter electrode coupled to a second lead. The counter electrode includes a high-resistance portion and a low-resistance portion. The test sensor includes a reagent disposed on the working electrode that is adapted to react with an analyte in a fluid sample for producing an electrochemical reaction indicative of the concentration of the analyte in the fluid sample. The method comprises applying a voltage profile across the first and second leads, measuring the current profile at the first and second leads in response to the applied voltage profile, and generating an under-filled error signal when the measured current profile does not have a predetermined profile.

20

[007] The above summary of the present invention is not intended to represent each embodiment, or every aspect, of the present invention. Additional features and benefits of the present invention are apparent from the detailed description, figures, and embodiments set forth below.

25

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

[008] FIG. 1 is an exploded view of an electrochemical biosensor according to one embodiment of the present invention.

30

[009] FIG. 2a is an oversized top view of an electrode pattern of the electrochemical biosensor of FIG. 1.

[0010] FIG. 2b is a circuitry schematic of the electrochemical biosensor of

FIG. 2a when the electrochemical biosensor is partially filled with liquid sample.

[0011] FIG. 2c is a circuitry schematic of the electrochemical biosensor of

FIG. 2a when the electrochemical biosensor is appropriately filled with liquid sample.

MSE-2692 CHICAGO 273914v1 47082-00117USPT [0012] FIG. 3a is a plot of the voltage profile applied to the test sensor of FIG. 1 according to one embodiment of the present invention.

[0013] FIGS. 3b and 3c are plots of the current profile of the test sensor in response to the voltage profile of FIG. 3a in an under-filled condition and an appropriately-filled condition, respectively.

[0014] FIG. 4a is a plot of the voltage profile applied to the test sensor of FIG. 1 according to another embodiment of the present invention.

[0015] FIGS. 4b and 4c are plots of the current profile of the test sensor in response to the voltage profile of FIG. 4a in an under-filled condition and an appropriately-filled condition, respectively.

[0016] While the invention is susceptible to various modifications and alternative forms, specific embodiments are shown by way of example in the drawings and are described in detail herein. It should be understood, however, that the invention is not intended to be limited to the particular forms disclosed.

15

20

10

5

#### **DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS**

[0017] Turning to the drawings and initially to FIG. 1, the construction of an electrochemical sensor 10 is shown according to one embodiment of the present invention. The sensor 10 comprises an insulating base 12 upon which is printed in sequence (typically by screen printing techniques) an electrical conductor pattern including first and second leads 14a,b, an electrode pattern including a working electrode 16, a counter electrode, an insulating (dielectric) layer 20 including an opening 22 and a channel 25, and a reaction layer 24. The counter electrode includes a low-resistance counter electrode branch 18 (LRC electrode) and a high-resistance counter electrode branch 19 (HRC electrode).

25

30

[0018] The reaction layer 24 includes a reagent for converting an analyte of interest (e.g., glucose) in a fluid test sample (e.g., blood) into a chemical species that is electrochemically measurable, in terms of the electrical current it produces, by the components of the electrode pattern. The reagent of the reaction layer 24 typically contains an enzyme such as, for example, glucose oxidase, which reacts with the analyte and with an electron acceptor such as a ferricyanide salt to produce an electrochemically measurable species that can be detected by the electrode pattern 16,18,19. The reaction layer 24 comprises a polymer, an enzyme, and an electron acceptor. The reaction layer 24 also includes additional ingredients such as a buffer

and a surfactant in some embodiments of the present invention. The reaction layer 24 is disposed over the opening 22 and channel 25 in the insulating layer 20. Thus, the portion of the reaction layer 24 exposed to the electrode pattern 16,18,19 is defined by an opening 22 and a channel 25 in the insulating layer 20. The working electrode 16 is electrically coupled to the first lead 14a, and the LRC electrode 18 and HRC electrode 19 are electrically coupled to a second lead 14b.

[0019] The reaction layer 24 covers only the working electrode 16, covers the working electrode 16 and the LRC electrode 18, or covers the working electrode 16, the LRC electrode 18, and the HRC electrode 19 in alternative embodiments of the present invention. When the reaction layer 24 covers only the working electrode 16, an electroactive material is present on the LRC electrode 18 to allow it to function as a counter electrode as is well known in the art.

[0020] The sensor 10 includes a lid 30 having a concave portion 32 that forms a capillary channel when mated with the insulating layer 20 for moving the liquid sample from an inlet 34 into the test sensor 10. The downstream end of the capillary channel includes one or more openings 36 for venting the capillary channel—the fluid sample flows from the inlet 34 into the sensor 10 toward the opening 36. In use, the sensor 10 collects a fluid sample (e.g., a blood sample from a patient's finger) by bringing the capillary channel inlet 34 into contact with the fluid sample.

20

25

5

10

15

[0021] Referring to FIG. 2a, the working electrode 16 and LRC electrode 18 are configured in a manner such that the LRC electrode 18 is located downstream (in terms of the direction of fluid sample flow along the flow path) from the working electrode 16. This configuration offers the advantage of requiring the test fluid to completely cover the working electrode 16 in order to contact the LRC electrode 18. However, the HRC electrode 19, which is coupled to the LRC electrode 18 via a resistor 40, is positioned upstream from the working electrode 16. According to one embodiment of the present invention, the resistor 40 has a resistance of about 50 k $\Omega$  to about 500 k $\Omega$ . In other embodiments, the resistance of the resistor 40 ranges between about 250 k $\Omega$  to about 350 k $\Omega$ . In yet another embodiment, the resistor 40 has a resistance of about 300 k $\Omega$ . The resistor 40 may be screen-printed on the insulating base 12 in a manner similar to the working electrode 16, the LRC electrode 18, the HRC electrode 19, and the leads 14a,b. Generally, as described below, the

30

resistor 40 is used in detecting an under-filled condition in the test sensor 10, which can result in an inaccurate measurement of the analyte of interest in the fluid sample.

[0022] Referring to FIG 2b, the working electrode 16 and HRC electrode 19 form the circuit illustrated if the sensor 10 is under-filled (i.e., the LRC electrode 18 in FIG. 2a is not covered by the fluid sample). In this situation, the sensor current passes through the resistor 40. Thus, the potential  $V_2$  between the working electrode 16 and the HRC electrode 19 is about the difference between the potential  $V_1$  applied to the sensor leads 14a,b and the voltage drop  $V_r$  across the resistor 40, assuming a negligible resistance along the electrode/lead pattern.

10

15

20

25

5

[0023] Referring to FIG. 2c, the working electrode 16 and the LRC electrode 18 form the illustrated circuit if the sensor 10 is appropriately filled (i.e., the LRC electrode 18 in FIG. 2a is covered by the liquid sample). In this situation, the resistor 40 is electrically bypassed in the circuitry. Thus, the potential V<sub>2</sub> between the working electrode 16 and the LRC electrode 18 is substantially the same as the potential V<sub>1</sub> applied to the leads 14a,b of the sensor 10, assuming a negligible resistance along the electrode/lead pattern. The current measured in the sensor 10 is a result of diffusion of electro-active species to the electrodes and the subsequent redox reactions there. For example, at the working electrode 16 an electron is taken from ferrocyanide, oxidizing it to ferricyanide. At the LRC electrode 18 (or at the HRC electrode 19 in an under-filled situation), an electron is added to ferricyanide, reducing it to ferrocyanide. The flow of electrons in the electrical pattern connecting the two electrodes is measured and is related to the amount of ferrocyanide and hence to the amount of glucose in the sample. In normal operation, a relatively high electrical potential, V2 in FIG. 2c, is applied between the electrodes (e.g., about 400 mV), making the oxidation and reduction reactions at the electrodes fast and depleting the region around the working electrode 16 of the reduced mediator (e.g., ferrocyanide). Thus, the current is not constant but decays with time as the reaction is limited by the diffusion to the electrode surface of the reduced mediator. In general, such decaying current i can be described according to equation (1):

30

$$i = C \cdot G \cdot t^{-k} \tag{1}$$

In equation (1), C is a constant, G is the concentration of the analyte (e.g., glucose) in the liquid sample, t is the time elapsed since the potential  $V_2$  is applied, and k is a constant relating to the current decay profile.

[0024] If a higher electrical potential is applied, no increase in the sensor current is measured, and no change to the decay with time is measured because the sensor current is determined by diffusion to the electrode surface. If a lower electrical potential (e.g., about 200 mV) is applied between the electrodes, the oxidation and reduction reactions are slower, but fast enough that the sensor current remains dependent on diffusion. Eventually at a lower voltage (e.g., less than about 200 mV), local depletion of reduced mediator does not occur and the sensor current ceases to vary with time. Thus, during normal operation of the sensor 10, no change in the current decay profile occurs with time over a range of applied potentials.

10

15

5

[0025] The operation of the test sensor 10 with under-fill detection will be described. If the sensor 10 is under-filled (i.e., less than a requisite amount for the designed reaction) the sample only covers the HRC electrode 19 and at least a portion of the working electrode 16. In this under-filled situation, the HRC electrode 19 serves as the entire counter electrode with a high-resistance due to the resistor 40. FIG. 2b illustrates the circuit under this condition. Current flow through the resistance 40 causes a potential drop  $V_r$  over the resistor 40 and reduces the potential  $V_2$  available for the electrochemical reactions. If the resistance is high enough, the potential  $V_2$  is reduced to a point where the electrode surface reactions are slow and the current measured between electrode leads 14a and 14b does not decay normally with time but is essentially flat. This flat equilibrium current is a dynamic balance between the sensor current and voltage drop  $V_r$  on the resistor. Changing the applied voltage  $V_1$  changes this equilibrium current—a lower voltage results in a lower equilibrium or steady-state current and a higher voltage results in a higher current. The sensor current has a "step" profile if a step-shaped voltage profile is applied.

25

20

[0026] In the situation where the sensor 10 is appropriately filled, the sample covers the LRC electrode 18, in addition to the HRC electrode 19, and the working electrode 16. FIG. 2c illustrates the circuitry under this condition. The branch of the circuitry between the HRC electrode 19 and the resistor 40 to the lead 14b is electrically bypassed by the direct connection between the LRC electrode 18 and the lead 14b. The working electrode 16 and the LRC electrode 18 form a low-resistance circuit, and the sensor current has a decay-type profile where the current is limited by diffusion of electro-active species to the electrode surface as described above.

30

[0027] The present invention provides an electrochemical sensor in which the electrodes are configured so that in the event of an under-filled condition, the result is

a current response with time and/or applied voltage that is characteristic, and can be distinguished from the response of a correctly-filled sensor. Specifically, there are at least two ways in which to distinguish a partially-filled sensor 10 from a sensor 10 that is appropriately filled according to alternative embodiments of the present invention. First, the sensor current of a partially-filled sensor 10 does not decay normally with time, unlike the sensor current of an appropriately-filled sensor 10. Second, the sensor current of a partially-filled test sensor 10 increases with applied voltage due to the resistor 40, while the sensor current of an appropriately-filled sensor 10 (which bypasses the resistor) does not.

10

5

[0028] Thus, when the amount of test fluid that enters the capillary space of the test sensor 10 is sufficient only to cover the HRC electrode 19 and at least a portion of the working electrode 16, and when a suitable potential is applied, the current measured across leads 14a,b is essentially constant and not decay normally with time. Put another way, a device coupled to the leads 14a,b senses certain characteristics of the sensor current over time, which are used to determine if an under-filled error condition has occurred. This is accomplished by algorithmically programming the device to detect the under-filled condition by measuring the current at definite time periods after the test fluid has electrically connected the HRC electrode 19 with the working electrode 16, and/or after the test fluid has electrically connected the working electrode 16 with the LRC electrode 18.

20

25

15

[0029] Referring to FIGS. 3a, 3b, and 3c, one method for determining whether the test sensor 10 is appropriately filled will be described. At time  $t_0$ , a voltage step is applied between the leads 14a,b and held constant until time  $t_1$ , this period is referred to as the burn period. Next, no voltage (e.g., an open circuit) is applied during a wait period from time  $t_1$  to time  $t_2$ . Finally, the voltage step is again applied during a read period from  $t_2$  to  $t_4$ . According to one embodiment of the present invention, the burn, wait, and read periods are each about 2 to about 10 seconds in duration. The applied step voltage is about 0.3 Volts to about 0.4 Volts, according to one embodiment of the present invention.

30

[0030] An under-filled sensor 10 generates a flat sensor current profile during the read period as shown, for example, in FIG. 3b. An appropriately-filled sensor 10 generates a typical decay-type sensor current profile during the read period as shown, for example, in FIG. 3c.

[0031] The decay factor, k, during the read period—from time  $t_2$  to  $t_4$ —is calculated from the two currents,  $I_{r3}$  and  $I_{r4}$ , measured at  $t_3$  and  $t_4$ , according to equation (2):

$$k = \frac{\ln(I_{r_3}) - \ln(I_{r_4})}{\ln(I_{r_4}) - \ln(I_{r_3})}$$
 (2)

5

10

15

In equation (2), the decay factor, k, describes how fast the current i decays in equation (1), where C is a constant, G is the glucose concentration, and t is the time elapsed after the voltage is initially applied. In an appropriately-filled sensor 10, k is typically between about 0.30 and about 0.49, decreasing as glucose concentration increases. The decay factor drops to zero in under-filled conditions. Therefore, an under-filled sensor 10 is detected by checking if the decay factor is below a pre-determined lower limit.

[0032] Referring to FIGS. 4a, 4b, and 4c, another method for determining whether the test sensor 10 is appropriately filled will be described. A first voltage is applied during the burn period occurring from time  $t_0$  to time  $t_1$ , and a second higher voltage is applied until time  $t_2$ . No voltage is applied (e.g., an open circuit) during the wait period from time  $t_2$  to time  $t_3$ . Finally, a voltage is applied during the read period from time  $t_3$  to time  $t_5$ . According to one embodiment, the first voltage applied during the burn period from time  $t_0$  to  $t_1$  is about 0.3 V, and the second applied during the burn period from time  $t_1$  to time  $t_2$  is about 0.6 V. During the read period, a voltage of about 0.3 V is applied. The burn, wait, and read periods are each about 2 seconds to about 10 seconds in length, with the first voltage of the burn period applied for about 25% to about 75% of the total burn period, according to one embodiment of the present invention.

25

20

[0033] An under-filled sensor 10 generates a step sensor current profile I<sub>b</sub> during the burn period as shown, for example, in FIG. 4b. An appropriately-filled sensor 10 generates a decay-shaped sensor current profile as shown, for example, in FIG. 4c.

30

[0034] The decay factor k during the burn period is calculated from the two currents,  $I_{b1}$  and  $I_{b2}$ , measured at  $t_1$  and  $t_2$ , respectively, according to equation (3):

$$k = \frac{\ln(I_{b1}) - \ln(I_{b2})}{\ln(t_2) - \ln(t_1)}$$
(3)

During the burn period the decay factor is greater than about 0.2 in an appropriately-filled sensor, but drops below about -1.0 in an under-filled condition. Thus, an under-filled condition is detected by comparing the actual decay factor to a pre-determined lower limit during the burn period.

5

[0035] According to alternative embodiments, the two algorithms—equations (2) and (3)—for detecting an under-filled condition discussed in connection with FIGS. 3a-c and 4a-c are used jointly to determine whether an under-filled condition has occurred. The decay factor is first evaluated during the burn period as described in connection with FIGS. 3a-c. If no under-filled condition is determined, the decay factor is then evaluated during the read period as described in connection with FIGS. 4a-c. If no under-filled condition is detected during the burn and read periods, an appropriately-filled condition is deemed to have occurred.

15

10

[0036] While the invention is susceptible to various modifications and alternative forms, specific embodiments thereof are shown by way of example in the drawings and described in detail herein. It should be understood, however, that it is not intended to limit the invention to the particular forms disclosed, but on the contrary, the intention is to cover all modifications, equivalents, and alternatives falling within the spirit and scope of the invention as defined by the appended claims.

#### WHAT IS CLAIMED IS:

7	
~	

4

8

10

12

14

16

18

20

22

24

26

28

- An electrochemical sensor for detecting the concentration of an analyte in a fluid test sample, the sensor comprising:
  - a flow path for receiving the fluid test sample;
- 6 a first lead and a second lead each adapted to be electrically coupled with a detector of electrical current;
  - a working electrode disposed along the flow path, the working electrode being in electrical communication with the first lead;
  - a counter electrode in electrical communication with the second lead, the counter electrode having a low-resistance portion and a high-resistance portion, the low-resistance portion of the counter electrode being disposed along the flow path downstream from the working electrode, the high-resistance portion of the counter electrode being disposed along the flow path upstream from the working electrode;
  - a resistor electrically coupled between the high-resistance portion of the counter electrode and the second lead; and
  - a reagent disposed on the working electrode, the reagent being adapted to react with the analyte to produce electrons that are transferred to the working electrode;

wherein a first current profile is produced at the first and second leads in response to a voltage profile applied to the first and second leads when electrical communication occurs between only the high-resistance portion of the counter electrode and the working electrode, a second current profile is produced at the first and second leads in response to substantially the same voltage profile applied to the first and second leads when electrical communication occurs between the lowresistance and high-resistance portions of the counter electrodes and the working electrode, the first current profile being different than the second current profile.

The sensor of claim 1 wherein the second current profile has a decay-2. type shape.

The sensor of claim 1 wherein the resistor has a resistance of about 50  $k\Omega$  to about 500  $k\Omega$ .

32

30

	4. The sensor of claim 3 wherein the resistor has a resistance of about 250
2	$k\Omega$ to about 350 $k\Omega$ .
4	5. The sensor of claim 1 wherein electrical communication occurs
	between only the high-resistance portion of the counter electrode and the working
6	electrode when a less than predetermined volume of fluid sample is received by the
	flow path.
8	
	6. The sensor of claim 1 wherein electrical communication occurs
10	between the low-resistance portion of the counter electrode and the working electrode
	when at least a predetermined volume of fluid sample is received by the flow path.
12	
	7. The sensor of claim 1 wherein the first current profile and the voltage
14	profile have similar shapes when electrical communication occurs between only the
	high-resistance portion of the counter electrode and the working electrode.
16	
1	8. The test sensor of claim 1 wherein the first and second leads are
18	electrically coupled to the detector of electrical current, the detector being adapted to
	generate an under-filled error signal when a current profile produced at the first and
20	second leads in response to a voltage profile applied to the first and second leads does
	not have a decay-type shape.
22	
	9. The test sensor of claim 1 wherein the fluid test sample comprises
24	blood.
26	10. The test sensor of claim 1 wherein the analyte comprises glucose.
28	11. The test sensor of claim 1 wherein the reagent comprises glucose
	oxidase.
30	
	12. The test sensor of claim 1 further comprising a reaction layer that
32	includes the reagent, the reaction layer covering the working electrode and the low-
	resistance portion of the counter electrode.

	13. A method for evaluating whether an electrochemical test sensor is					
2	properly filled, the test sensor including a working electrode electrically coupled to a					
-	first lead and a counter electrode electrically coupled to a second lead, the counter					
4	electrode including a low-resistance portion and a high-resistance portion, the method					
	comprising:					
6	applying a voltage profile across the first and second leads;					
•	measuring the current profile at the first and second leads in response to the					
- 8	applied voltage profile; and					
	generating an under-filled error signal when the measured current profile does					
10	favorably compare to a predetermined profile.					
12	14. The method of claim 13 wherein the predetermined profile is a decay-					
	shaped profile.					
14						
	15. The method of claim 13 comprising forming an electrical					
16	communication between only the high-resistance portion of the counter electrode and					
	the working electrode when the test sensor is under-filled.					
18						
	16. The method of claim 13 comprising forming an electrical					
20	communication between the low-resistance and high-resistance portions of the					
	counter electrode and the working electrode when the test sensor is appropriately-					
22	filled.					
24	17. The method of claim 13 wherein the fluid test sample comprises blood.					
36	10 The most of a faire 12 when in the coulty is					
26	18. The method of claim 13 wherein the analyte comprises glucose.					
28	19. An electrochemical sensor for detecting the concentration of glucose in					
	a blood sample, the sensor comprising:					
30	a flow path for receiving the blood sample;					
	a first lead and a second lead each adapted to be electrically coupled with a					
32	detector of electrical current;					
	a working electrode disposed along the flow path, the working electrode being					
34	in electrical communication with the first lead;					
	MSE-2692					
	•					

	a low-resistance counter electrode disposed along the flow path downstream			
2	from the working electrode, the low-resistance counter electrode being in electrical			
	communication with the second lead;			
4	a high-resistance counter electrode disposed along the flow path upstream			
	from the working electrode, the high-resistance counter electrode being in electrical			
6	communication with the second lead;			
	a resistor electrically coupled between the high-resistance counter electrode			
8	and the second lead; and			
	a reagent disposed on the working electrode, the reagent being adapted to react			
10	with the glucose in the blood sample to produce an electrochemical reaction indicative			
	of the concentration of the glucose in the blood sample;			
12	wherein a first current profile is produced at the first and second leads in			
	response to a voltage profile applied to the first and second leads when electrical			
14	communication occurs between only the high-resistance counter electrode and the			
	working electrode, a second current profile is produced at the first and second leads in			
16	response to substantially the same voltage profile applied to the first and second leads			
	when electrical communication occurs between the high-resistance and low-resistance			
18	counter electrodes and the working electrode, the first current profile being different			
	than the second current profile.			
20				
	20. The sensor of claim 19 wherein the second current profile has a decay-			
22	type shape.			
24	21. The sensor of claim 19 wherein the resistor has a resistance of about 50			
	$k\Omega$ to about 500 $k\Omega$ .			
26				
	22. The sensor of claim 21 wherein the resistor has a resistance of about			
28	250 k $\Omega$ to about 350 k $\Omega$ .			
30	23. The sensor of claim 19 wherein electrical communication occurs			
	between only the high-resistance counter electrode and the working electrode when a			

less than predetermined volume of blood sample is received by the flow path.

32

	24. The sensor of claim 19 wherein electrical communication occurs
2	between the low-resistance counter electrode and the working electrode when at least
•	a predetermined volume of blood sample is received by the flow path.
4	
	25. The sensor of claim 19 wherein the first current profile and the voltage

high-resistance counter electrode and the working electrode.

8

10

6

26. The test sensor of claim 19 wherein the first and second leads are electrically coupled to the detector of electrical current, the detector being adapted to generate an under-filled error signal when a current profile produced at the first and second leads in response to a voltage profile applied to the first and second leads does not have a decay-type shape.

profile have similar shapes when electrical communication occurs between only the

14

16

12

27. The test sensor of claim 19 wherein the reagent comprises glucose oxidase.

18

28. The test sensor of claim 19 wherein the electrochemical reaction produces electrons that are transferred to the working electrode.

20

22

29. The test sensor of claim 19 further comprising a reaction layer that includes the reagent, the reaction layer covering the working electrode and the low-resistance counter electrode.

24

26

28

30

30. A method for evaluating whether an electrochemical sensor is properly filled, the test sensor including a working electrode coupled to a first lead, and counter electrode coupled to a second lead, the counter electrode including a high-resistance portion and a low-resistance portion, the test sensor including a reagent disposed on the working electrode that is adapted to react with glucose in a blood sample for producing an electrochemical reaction indicative of the concentration of the glucose in the blood sample, the method comprising:

32

applying a voltage profile across the first and second leads;

collecting a blood sample;

measuring the current profile at the first and second leads in response to the 2 applied voltage profile; and generating an under-filled error signal when the measured current profile is 4 not of a predetermined shape. 31. The method of claim 30 wherein the predetermined shape is a decayshaped. 8 32. The method of claim 30 comprising forming an electrical 10 communication between only the high-resistance portion of the counter electrode and the working electrode when the test sensor is under-filled. 12 33. The method of claim 30 comprising forming an electrical communication between the low-resistance portion of the counter electrode and the 14 working electrode when the test sensor is appropriately-filled. 16 34. The method of claim 30 wherein the reagent comprises glucose 18 oxidase. 20 35. The method of claim 30 wherein the reagent is also disposed on the low-resistance portion of the counter electrode.

#### **ABSTRACT**

According to one embodiment of the present invention, an electrochemical sensor for detecting the concentration of analyte in a fluid test sample is disclosed. The sensor includes a counter electrode having a high-resistance portion for use in detecting whether a predetermined amount of sample has been received by the test sensor.

#### **DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

The specification of which

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ELECTROCHEMICAL BIOSENSOR

(check one)	XI	is attached hereto.			
•		was filed on Application Serial No and was amended on			
hereby state that I have as amended by any ame			of the above identified specification	on, including	the claims
acknowledge the duty to Title 37, Code of Federal			o the examination of this applica	tion in accor	dance with
nventor's certificate lister	d below and i	under Title 35, United State have also identified below a pplication on which priority	es Code, §119 of any foreign app any foreign application for patent is claimed:	olication(s) fo or inventor's	or patent or certificate
Prior Foreign Application	(s)			Prior Claim	
(Number)		(Country)	(Day/Month/Year Filed)	□ Yes	□ No
(Number)	<del></del>	(Country)	(Day/Month/Year Filed)	□ Yes	□ No
(Number)		(Country)	(Day/Month/Year Filed)	□ Yes	□ No
hereby claim the bene- listed below.	fit under Title	35, United States Code §	119(e) of any United States pro	ovisional app	lications(s)
(Application Number)	) .	(Filing Date	9)		
(Application Number)	1	(Filing Date	e)		

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose

material information as define of the prior application and the	d in Title 37, Code of Fe national or PCT internat	deral Regulations, §1.56 ional filing date of this a	6(a) which occur pplication:	red between the filing date
(Application Serial No.)	(Filing Date)		(Status: patented	, pending, abandoned)
(Application Serial No.)	(Filing Date)		(Status: patented	, pending, abandoned)
(Application Serial No.)	(Filing Date)		(Status: patented	, pending, abandoned)
I hereby appoint the following Patent and Trademark Office		t(s) to prosecute this ap	plication and to t	transact all business in the
Andrew L. Klawitter, R John M. Paolino, Reg. Mark A. Seka, Reg. N	No. 40,340	Julie L. Cheng, Reg. N Elizabeth A. Levy, Re		
Address all telephone calls to:	Elizabeth A. Levy at tele	ephone number <u>508/359</u>	<u>-3876</u> .	
Address all correspondence to Elizabeth A. Levy, Esq., Bayer		3ox 40, Elkhart, IN 4651	5-0040 USA	
I hereby declare that all statinformation and belief are beliefalse statements and the like the United States Code and thissued thereon.	eved to be true; and furth so made are punishable	er that these statements by fine or imprisonment	s were made with , or both, under	h the knowledge that willful Section 1001 of Title 18 of
Full name of sole or first inven	tor	DIJIA HUANG		
Inventor's signature	Dia Huans			Jan 29, 2004 (Date)
	36 Paddock Drive, G	ranger. Indiana 46	5530 IISA	(Date)
Citizenship <u>Unit</u>	ed States of Ameri	ca		
Post Office Address5178	86 Paddock Drive, G	ranger, Indiana 46	530 USA	
Full name of second joint inve	ntor if any	STEVEN C. CHAF	RI TON	
	O(O)	. ()	CHION .	29 T 01
Inventor's signature	LCRX_ seres	t. BerX		(Date)
	5 Douglas Road, Os		661 USA	, , , , , , , , , , , , , , , , , , , ,
• •	ced States of Ameri '5 Douglas Road, Os		61 USA	
<del></del>				
Full name of third joint invento	r, if any	SUNY J. GEORGE	E	
Inventor's signature	Gf			Jan. 29, 2004 (Date)
	3 Wolfe Bridge Cou		na 46530 USA	1
	ced States of Ameri 73 Wolfe Bridge Cou		na 46530 USA	

Full name of fourth joi	nt inventor, if any	ANDREW J.	EDELBROCK	
Inventor's signature _	Molen	Felm		30th J204
Residence	14700 Horseshoe Ber	nd Court, Granger,	Indiana 46530	(Date) USA
Citizenship	United States of Am			
Post Office Address _	14700 Horseshoe Ber	nd Court, Granger,	Indiana 46530	USA

JR09704 MSE #2692

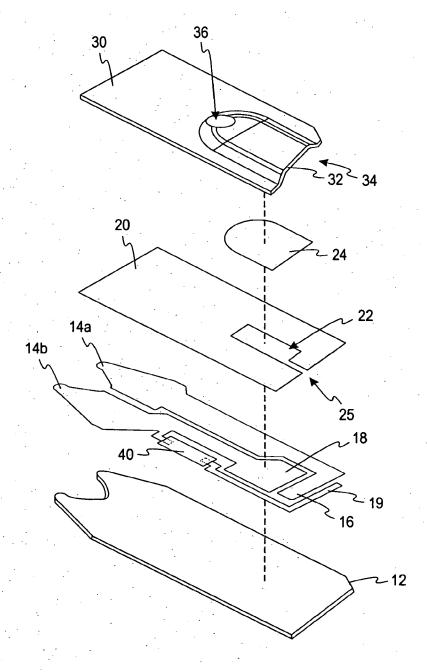


Fig. 1

SAMPLE FLOW

14a

14b

14b

Fig. 2a



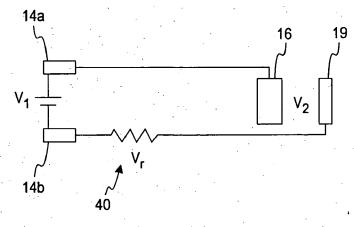


Fig. 2b

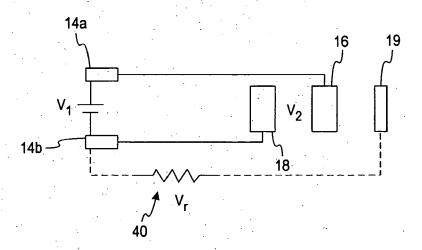


Fig. 2c

VOLTAGE

0.4 V

0 V

to t1 t2 t3 t4 Fig. 3a

